

May 29, 2019

**Related Practices**

Securities & Capital Markets

## **Michael Best's Lyndon L. Ricks Joins Utah Securities Commission**

Michael Best is pleased to announce that Lyndon L. Ricks has been appointed to serve on the State of Utah Securities Commission. Ricks joins four other qualified, Utah citizens to support the Utah Division of Securities. The group formulates and makes recommendations to the Division Director regarding policy and budgetary matters and fees, acts in an advisory capacity to the Division Director and conducts administrative hearings adjudicating enforcement actions brought by the Division. Each member is appointed to the commission by the governor and with the consent of the Utah State Senate to serve a four-year term.

Ricks is a partner in Michael Best's Corporate and Securities & Capital Markets Practice Groups. He advises clients on a broad range of securities matters including preparing limited or private offering documents for offerings exempt from registration under federal and state securities laws, ensuring compliance with reporting requirements under federal and state securities laws, and advising clients as to initial and secondary public offerings, proxy fights, and tender offers.

In addition to his firm experience, Ricks is a frequent lecturer and panelist at continuing legal education seminars and previously served as chair of the Securities Section of the Utah State Bar.

Ricks was sworn in on May 23.

### **Related People**

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